



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/697,641	10/31/2003	Bernd Labertz	1509-452	8476
22879	7590	09/11/2007	EXAMINER	
HEWLETT PACKARD COMPANY			SHIN, KYUNG H	
P O BOX 272400, 3404 E. HARMONY ROAD			ART UNIT	PAPER NUMBER
INTELLECTUAL PROPERTY ADMINISTRATION			2143	
FORT COLLINS, CO 80527-2400				

MAIL DATE                    DELIVERY MODE  
09/11/2007                    PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/697,641	LABERTZ, BERND	
<b>Examiner</b>		<b>Art Unit</b>	
Kyung H. Shin		2143	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 20 June 2007.  
 2a) This action is FINAL.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-26 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-26 is/are rejected.  
 7) Claim(s) \_\_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)	5) <input type="checkbox"/> Notice of Informal Patent Application
Paper No(s)/Mail Date _____. _____	6) <input type="checkbox"/> Other: _____

## DETAILED ACTION

### ***Response to Amendment***

1. This action is responding to application papers filed on 6-20-2007.
2. Claims 1 - 26 are pending. Claims 1, 7, 10, 12, 14, 17, 21, 23 have been amended. Claims 25, 26 are new. Claim 1, 7, 10, 12, 14, 17, 21, 23 are independent.

### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1-26 have been considered but are moot in view of the new ground(s) of rejection in view of Pantuso (US Patent No. 7,093,292).

- 3.1 Applicant argues that the referenced prior art does not disclose, the entirely of events are analyzed since the last analysis of the local event logs. (see Remarks Page 10)

The Douglas and Pantuso prior art combination discloses the capability for local event logs to be forwarded to a central server for storage and further analysis. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

- 3.2 The examiner has considered the applicant's remarks concerning a computer network that is monitored and a plurality of local event logs are generated and

Art Unit: 2143

then stored in a central database. The central database is transferred at customizable, periodic time intervals to a support computer system for analysis of the local event logs and an alert message is generated automatically when a potential problem is detected. Applicant's arguments have thus been fully analyzed and considered but they are not persuasive.

After an additional analysis of the applicant's invention, remarks, and a search of the available prior art, it was determined that the current set of prior art consisting of Douglas (20040049693), Pantuso (7,093,292), and Katz (20020062259) discloses the applicant's invention including disclosures in Remarks dated June 20, 2007.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. **Claim 1 - 11, 14 -24, 26** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Douglas** (US PGPUB No. 20040049693) in view of **Pantuso** (US Patent No. 7,093,292).

**Regarding Claim 1,** Douglas discloses a method of monitoring a plurality of local event logs of a computer network, the method comprising:

Art Unit: 2143

a) entering the local event logs in a central database of the computer network, (see Douglas paragraph [0004], lines 3-13: event processing; paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: central database; paragraph [0031], lines 16-18; paragraph [0071], lines 1-12: storage event logs) and

Douglas discloses the capability to send event information to a central database. (see Douglas paragraph [0022], lines 14-18; paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer external system (i.e. aggregated events), event log analysis) Douglas does not specifically disclose analysis of the entirety of the local event logs since a last analysis of the local event logs.

However, Pantuso discloses:

b) sending the central database from the computer network to an external support computer system for analysis of the entirety of the local event logs since a last analysis of the local event logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for additional analysis by a central system after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically respond to such intrusions detections. (see Pantuso col. 1, lines 38-41: "... Recently, there has been work to

*generate central databases of hacker-related information that may be used to identify patterns indicative of intrusion activity, and respond accordingly. ...*, col. 1, lines 50-53: “*... There is thus a need for a system and method of automatically collecting hacker-related information in a central database, and then utilizing such information in an automated response.*”)

**Regarding Claim 2,** Douglas discloses the method of claim 1, wherein each local event log is generated for one particular node of the computer network, and storing the local event logs in the central database using a corresponding node identifier as a key. (see Douglas paragraph [0031], lines 16-18; paragraph [0071], lines 1-12; paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: storage event logs (i.e. database); paragraph [0082], lines 1-8: record (i.e. event record) identifier)

**Regarding Claim 3,** Douglas discloses the method of claim 1, the computer network comprising a server computer for storing the central database, the server computer having a local server event log, the method further comprising storing the local server event log in the central database, and sending the central database from the server computer of the computer network to the external support computer system. (see Douglas paragraph [0020], lines 1-3; paragraph [0021], lines 9-12: HIDS, NIDS servers, central database; paragraph [0031], lines 16-18; paragraph [0071], lines 1-12: storage event logs; paragraph [0022], lines 14-18; paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer to external support system (i.e. aggregated events))

**Regarding Claim 4,** Douglas discloses the method of claim 3, further comprising entering an event into the local server event log after the central database has been sent to the external support computer system. (see Douglas paragraph [0032], lines 2-3: continuous (i.e. no termination) event logging, even after transfer to external system)

**Regarding Claim 5,** Douglas discloses the method of claim 1, wherein each event log entry in a local event log has an event identifier, a time stamp and event information descriptive of the event. (see Douglas paragraph [0082], lines 1-8: record identifier; paragraph [0184], lines 1-2; paragraph [0184], lines 9-11: event record, date/time (i.e. time stamp), description of event)

**Regarding Claim 6,** Douglas discloses the method of claim 1, wherein the central database is stored on a server computer of the computer network, and further comprising the steps of:

- a) coupling program code from the server computer to network nodes of the computer network, (see Douglas paragraph [0003], lines 1-13: software, program code; paragraph [0004], lines 3-5: access to distributed network nodes (i.e. coupled to network)) and
- b) transferring the local event logs of the network nodes to the server computer by remotely executing the program code by the server computer on the network nodes. (see Douglas paragraph [0003], lines 1-13: software, program code;

paragraph [0004], lines 9-13: transfer event log (i.e. aggregated events)  
information to server)

**Regarding Claim 7**, Douglas discloses a memory storing a computer program for causing a computer network to generate a central database for storing local event logs of network nodes of the computer network, the computer program causing the computer network to perform the steps of:

- a) transmitting the respective local event logs from the network nodes to a server computer of the computer network, (see Douglas paragraph [0004], lines 9-13: local event logs (i.e. aggregated events) transferred to server)
- b) storing the local event logs in the central database on the server computer using the node identifiers of the network nodes as keys for the respective local event logs, (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6; paragraph [0027], lines 8-10: storage, central server database; paragraph [0082], lines 1-8: event record identifier (i.e. key to retrieve event record)) and
- c) storing a local server event log of the server computer in the central database, the local server event log being adapted to store a send event after the central database has been sent to an external support computer system for analysis of the local event logs. (see Douglas paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer to external support system (i.e. aggregated events) for analysis)

Douglas does not specifically disclose whereby an entirely of the local event logs are stored. However, Pantuso discloses:

d) wherein an entirely of the local event logs are stored since a last analysis of the local events logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections. (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53)

**Regarding Claim 8,** Douglas discloses the memory of claim 7, wherein the program causes the network to send the central database to the external support computer system at customisable periodic time intervals. (see Douglas paragraph [0032], lines 1-2; paragraph [0032], lines 5-6; paragraph [0032], lines 8-10: timed interval for monitoring, event logs, schedule setup (i.e. customizable))

**Regarding Claim 9,** Douglas discloses the memory of claim 7, wherein the program includes program code for remote execution on the network nodes to cause the network nodes to send the respective local event logs to the server computer. (see Douglas

paragraph [0003], lines 1-13: software, program code; paragraph [0004], lines 9-13: event logs (i.e. aggregated events) transferred to server)

**Regarding Claim 10**, Douglas discloses a server computer system of a computer network having a plurality of network nodes, the server computer system comprising:

- a) a controller for causing the network nodes to transmit respective local event logs of the network nodes to the server computer system, (see Douglas paragraph [0004], lines 9-13: transfer (i.e. network communications) event logs to server)
- b) a store for the local event logs in a central database, (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: central database; paragraph [0031], lines 16-18; paragraph [0027], lines 8-10: storage event logs)
- c) a transmitter for sending the central database to an external support computer system for analysis of the local event logs. (see Douglas paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer to external support system (i.e. aggregated events), event logs analysis)

Douglas does not specifically disclose whereby an entirely of the local event logs are stored. However, Pantuso discloses:

- d) wherein an entirely of the local event logs are stored since a last analysis of the local event logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections. (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53)

**Regarding Claim 11,** Douglas discloses the server computer system of claim 10, further comprising a local server event log for storing an event in response to the central database being sent to the external support computer system, the send event having a time stamp. (see Douglas paragraph [0184], lines 1-2; paragraph [0184], lines 9-11: event log record, event record (i.e. including send event), data/time (i.e. timestamp))

**Regarding Claim 14,** Douglas discloses a method of monitoring a plurality of local event logs, the method comprising the steps of:

- a) receiving a database from a customer computer network, the database comprising the local event logs of network nodes of the computer network, (see Douglas paragraph [0022], lines 14-18; paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer external system (i.e. aggregated events), event logs analysis, transfer/receive)
- b) querying the database to identify a database send event in the local event logs and its corresponding sent time stamp, (see Douglas paragraph [0040], lines 5-8;

paragraph [0080], lines 1-6: query (i.e. database command), record(s) within database; paragraph [0184], lines 1-2; paragraph [0184], lines 9-11: database records with data/time (i.e. timestamp))

- c) querying the database to identify local event log entries having time stamps later than the sent time stamp. (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: query (i.e. database command), record(s) within database timestamp part of record, compare time stamps)

Douglas does not specifically disclose whereby an entirely of the local event logs are stored. However, Pantuso discloses:

- d) wherein an entirely of the local event logs are stored since a last analysis of the local event logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections. (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53)

**Regarding Claim 15,** Douglas discloses the method of claim 14, further comprising comparing the identified event log entries to rules of alert policies to determine whether an alert action should be invoked. (see Douglas paragraph [0022], lines 14-15; paragraph [0066], lines 1-5; paragraph [0218], lines 2-4: security policy (i.e. rules), process event log to generate alert)

**Regarding Claim 16,** Douglas discloses the method of claim 15, further comprising sending an email message to a response center engineer as an alert action. (see Douglas paragraph [0020], lines 3-6; paragraph [0028], lines 1-4: e-mail alert, event log processed)

**Regarding Claim 17,** Douglas discloses a memory storing a computer program for enabling a computer to monitor plural local event logs of a computer network, the computer program causing the computer to perform the steps of:

- a) storing a database associated with a customer computer network, the database comprising the local event logs of network nodes of the computer network, (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: central database; paragraph [0027], lines 8-10; paragraph [0031], lines 16-18: storage event logs; paragraph [0003], lines 1-13: software, program)
- b) querying the database to identify a database send event in the local event logs and its corresponding sent time stamp, (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: database, query (i.e. database command), record(s))

within database: query (i.e. database command), record(s) within database, timestamp part of record, check time stamps; paragraph [0184], lines 1-2; paragraph [0184], lines 9-11: database records with data/time (i.e. timestamp)) and

- c) querying the database to identify local event log entries having time stamps later than the sent time stamp. (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: central database, query (i.e. database command), record(s) within database, timestamp part of record, compare time stamps)

Douglas does not specifically disclose whereby an entirely of the local event logs are stored. However, Pantuso discloses:

- d) wherein an entirely of the local event logs are stored since a last analysis of the local event logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections. (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53)

**Regarding Claim 18,** Douglas discloses the memory of claim 17, wherein the program causes the computer to determine whether an alert action should be invoked by comparing the identified event log entries to rules of alert policies. (see Douglas paragraph [0022], lines 14-15; paragraph [0066], lines 1-5; paragraph [0218], lines 2-4: security policy (i.e. rules), process event log to generate alert; paragraph [0003], lines 1-13: software, program code)

**Regarding Claim 19,** Douglas discloses the memory of claim 18, wherein the program causes the computer to send an automatic notification to a response center engineer if the determining step determines an alert action should be invoked. (see Douglas paragraph [0020], lines 3-6; paragraph [0028], lines 1-4: automatic e-mail alert sent, event log processed; paragraph [0003], lines 1-13: software, program code)

**Regarding Claim 20,** Douglas discloses the memory of claim 17, wherein the computer program causes the computer to receive from the customer computer network the database associated with the customer computer network. (see Douglas paragraph [0003], lines 1-13: software, program code; paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer database over customer computer network)

**Regarding Claim 21,** Douglas discloses a support computer system for providing network support services for a customer computer network, the support computer system comprising:

- a) a memory for storing a database associated with the customer computer network, the database comprising local event logs of network nodes of the customer computer network, (see Douglas paragraph [0027], lines 8-10; event log information stored (memory, disk storage); paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: database)
- b) a database query component for querying the database to determine a database send event and its corresponding transfer time stamp in the database and for querying the database to identify event log entries having time stamps later than the sent time stamp, (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: database, query (i.e. database command); paragraph [0184], lines 1-2; paragraph [0184], lines 9-11: database records with data/time (i.e. timestamp))
- c) an analysis component for comparing the identified event log entries to the rules of alert policies to determine whether an alert action should be invoked. (see Douglas paragraph [0022], lines 14-15; paragraph [0066], lines 1-5; paragraph [0218], lines 2-4: security policy (i.e. rules), process event log to generate alert)

Douglas does not specifically disclose whereby an entirely of the local event logs are stored. However, Pantuso discloses:

- d) wherein an entirely of the local event logs are stored since a last analysis of the local event logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61; transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections. (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53)

**Regarding Claim 22,** Douglas discloses a system according to claim 21 wherein the memory is adapted to receive from the customer's computer network the database associated with the customer computer network. (see Douglas paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer database (i.e. aggregated events) over customer computer network)

**Regarding Claim 23,** Douglas discloses a response center computer system for providing network support services for a plurality of customer computer networks, the response center computer system comprising:

- b) a database query component for querying the database to determine a database send event and its corresponding transfer time stamp in the database and for querying the database to identify event log entries having time stamps later than the sent time stamp, (see Douglas paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: database, query (i.e. database command), record(s) within database: query (i.e. database command), record(s) within database, timestamp part of

record, compare time stamps; paragraph [0184], lines 1-2; paragraph [0184], lines 9-11: database records with data/time (i.e. timestamp))

- c) an analysis component for comparing the identified event log entries with rules of alert policies to determine whether an alert action should be invoked, (see Douglas paragraph [0022], lines 14-15; paragraph [0066], lines 1-5; paragraph [0218], lines 2-4: security policy (i.e. rules), process event log to generate alert) and
- d) an automatic notification component for sending an email message to a response center engineer in response to the analysis component determining that an alert action should be invoked. (see Douglas paragraph [0020], lines 3-6; paragraph [0028], lines 1-4: e-mail alert, event log processed)

Douglas discloses wherein a memory for storing a database associated with the customer computer network, the database comprising local event logs of network nodes of the customer computer network. (see Douglas paragraph [0027], lines 8-10: storage of event logs (i.e. hard disk, memory), database)

Douglas does not specifically disclose whereby an entirely of the local event logs are stored. However, Pantuso discloses:

- a) wherein an entirely of the local event logs are stored since a last analysis of the local event logs, (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections. (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53)

**Regarding Claim 24,** Douglas discloses a system according to claim 23 wherein the memory is adapted to receive from the customer's computer network the database associated with the customer computer network. (see Douglas paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer database (i.e. aggregated events) over customer computer network)

**Regarding Claim 26,** Douglas discloses the method of claim 1, wherein the analysis is performed using rules, which define a set of alert policies. (see Douglas paragraph [0022], lines 14-15; paragraph [0066], lines 1-5; paragraph [0218], lines 2-4: security policy (i.e. rules), process event log to generate alert)

6. Claims 12, 13, 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Douglas-Pantuso** and further in view of **Katz et al.** (US PGPUB No. 20020062259).

**Regarding Claim 12, Douglas discloses a server comprising:**

- b) a remote execution program component for causing the network nodes to transmit respective local event logs to the server, (see Douglas paragraph [0003], lines 1-13: software, execution program (i.e. local, remote))

Douglas discloses a central database for storing the local event logs and for storing a local server event log, and a program performing functions. (see Douglas paragraph [0004], lines 3-5: event log processing; paragraph [0003], lines 1-13: software, program) Douglas does not specifically disclose a discovery server system. However, Katz discloses wherein:

- a) a discovery component for discovery of network nodes of a computer network, (see Katz paragraph [0023], lines 1-5: event processing; paragraph [0068], lines 1-4; paragraph [0068], lines 9-13: discovery capability for network nodes)
- c) a local discovery server, (see Katz paragraph [0023], lines 1-5: event processing; paragraph [0068], lines 1-4; paragraph [0068], lines 9-13: discovery capability for network nodes)

Douglas discloses wherein an interface component for sending the central database to the external support computer system. (see Douglas paragraph [0003], lines 1-4: interface component, network communications) And, Douglas discloses the capability to send event information to a central database. (see Douglas paragraph [0022], lines 14-18; paragraph [0024], lines 11-13; paragraph

[0024], lines 16-18: transfer external system (i.e. aggregated events), event log analysis) Douglas does not specifically discloses analysis of the entirely of the local event logs since a last analysis of the local event logs. However, Pantuso discloses:

- d) an interface component for sending the central database to the external support computer system for analysis of the entirely the local event logs since a last analysis of the local system logs. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1, lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Katz to enable the capability to utilize a server system with a discovery function, and to modify Douglas as taught by Pantuso to enable the capability to for additional analysis after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Katz in order to enhance event processing capabilities by increasing event types for event log processing by the addition of device generated events (see Katz paragraph [0016], lines 4-9: "*... It is also desirable to provide systems that enable and facilitate the initiation of data transfer, e-commerce and other digital transactions, responsive to device generated events, which may be generated, for example, at device installation or removal, or at other times during device operation. ...* "), and to employ the teachings of Pantuso in order to enable the capability to identify patterns

in intrusion activity and automatically response to such intrusions detections (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53).

**Regarding Claim 13,** Douglas discloses the discovery server of claim 12, wherein the local discovery server event log is adapted to store an event indicative of a transfer of the central database from the server to the external support computer system. (see Douglas paragraph [0004], lines 3-5: event log processing; paragraph [0040], lines 5-8; paragraph [0080], lines 1-6: database; paragraph [0022], lines 14-18; paragraph [0024], lines 11-13; paragraph [0024], lines 16-18: transfer external system) Douglas does not specifically disclose a discovery server. However, Katz discloses wherein a discovery server. (see Katz paragraph [0023], lines 1-5: event processing; paragraph [0068], lines 1-4; paragraph [0068], lines 9-13: discovery capability for network nodes)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Katz to enable the capability utilize a server system with a discovery function. One of ordinary skill in the art would have been motivated to employ the teachings of Katz in order to enhance event processing capabilities by increasing event types for event log processing by the addition of device generated events. (see Katz paragraph [0016], lines 4-9)

**Regarding Claim 25,** Douglas discloses the method of claim 1. Douglas does not specifically disclose whereby discovering network modes of computer network, and specifically disclose causing the network modes to transmit their local event logs to be

Art Unit: 2143

stored in the central database. However, Katz discloses wherein discovering network modes of computer network. (see Katz paragraph [0023], lines 1-5: event processing; paragraph [0068], lines 1-4; paragraph [0068], lines 9-13: discovery capability for network nodes) And, Pantuso discloses wherein network modes to transmit their local event logs to be stored in the central database. (see Pantuso col. 4, lines 43-52; col. 6, lines 56-61: transfer information to central server for analysis (after previous analysis); col. 1; lines 50-53; col. 3, line 63: storage, database)

It would have been obvious to one of ordinary skill in the art to modify Douglas as taught by Katz to enable the capability utilize a server system with a discovery function, and to modify Douglas as taught by Pantuso to enable the capability for storage in a central system of local event logs after a last analysis of the local event logs. One of ordinary skill in the art would have been motivated to employ the teachings of Katz in order to enhance event processing capabilities by increasing event types for event log processing by the addition of device generated events (see Katz paragraph [0016], lines 4-9), and to employ the teachings of Pantuso in order to enable the capability to identify patterns in intrusion activity and automatically response to such intrusions detections (see Pantuso col. 1, lines 38-41; col. 1, lines 50-53).

### ***Conclusion***

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyung H. Shin whose telephone number is (571) 272-3920. The examiner can normally be reached on 9:30 am - 6 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on (571) 272-3923. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

K H S  
Kyung Hye Shin  
Patent Examiner  
Art Unit 2143

KHS  
August 20, 2007



DAVID WILEY  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100